



2134\$  
#11

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: ) Attorney Docket No.: RECOP005  
LYLE, et al )  
) Examiner: Matthew E. Heneghan  
)  
Application No.: 09/615,676 ) Group Art Unit: 2134  
)  
Filed: July 14, 2000 ) Date: May 17, 2004  
)  
For: SYSTEM AND METHOD FOR )  
TRACKING THE SOURCE OF )  
A COMPUTER ATTACK )

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on May 17, 2004.

Signed: \_\_\_\_\_

Pat Tate

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RECEIVED

MAY 24 2004

Technology Center 2100

Sir:

Transmitted herewith is an amendment in the above-identified application.

The fee has been calculated as shown below.

Claims						
Remaining	Highest	Present	SMALL ENTITY	LARGE	ENTITY	
After	Previously	Extra	RATE FEE	RATE FEE		
<u>Amendment</u>	<u>Paid For</u>		OR			
TOTAL						
CLAIMS 36 -	43	0	X9 = \$	OR	X18 = \$	
INDEP						
CLAIMS 4 -	5	0	X42 = \$	OR	X84 = \$	
[ ] Multiple Dependent Claim Present			\$140		\$280	
and Fee Not Previously Paid						
		TOTAL	\$		\$ 0	



Applicant(s) hereby petition for a one month(s) extension of time to respond to the outstanding Office Action.

05/21/2004 MBERHE 00000026 09615676

02 FC:1251

110.00 OP



- ☒ Applicant(s) believe that no (additional) Extension of Time is required; however, if it is determined that such an extension is required, Applicant(s) hereby petition that such an extension be granted and authorize the Commissioner to charge the required fees for an Extension of Time under 37 CFR 1.136 to Deposit Account No. 50-0685. (RECOP005).
- ☒ Enclosed is our Check No. 1284 in the amount of \$220.00 to cover the additional claim fee, Disclaimer fee, and/or extension of time fees.
- ☒ Enclosed is Applicant Initiated Interview Request Form, PTOL-413A.
- ☒ Enclosed are 20 sheets formal drawings.
- ☒ Enclosed is a Terminal Disclaimer.
- ☒ Enclosed is an Information Disclosure Statement and two cited references.
- ☐ Please charge Deposit Account No. 50-0685 ( ) in the amount of \$\_\_\_\_\_ to cover the additional claim fee and/or extension of time fees.
- ☒ If the required fees are missing or any additional fees are required during the pendency of the subject application, please charge such fees or credit any overpayment to Deposit Account No. 50-0685 (RECOP005).

Respectfully submitted,  
VAN PELT & YI LLP

*Clover Huang*

Clover Huang  
Registration No. 55,285

10050 N. Foothill Blvd.  
Suite 200  
Cupertino, CA 95014  
Telephone: 408-973-2585



**Applicant Initiated Interview Request Form**

Application No.: 09/615,676 First Named Applicant: Michael Lyle  
Examiner: Matthew Heneghan Art Unit: 2134 Status of Application: Pending

**Tentative Participants:**

- (1) Examiner Heneghan (2) William James of Van Pelt & Yi LLP  
(3) Clover Huang of Van Pelt & Yi LLP (4) \_\_\_\_\_

Proposed Date of Interview: 4/6/2004 Proposed Time: 1:00 (EST) AM (PM)

**Type of Interview Requested:**

- (1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO

If yes, provide brief description: \_\_\_\_\_

**Issues To Be Discussed**

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>Rej.</u>	<u>22-29</u>	<u>Hu</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>Rej.</u>	<u>35-38</u>	<u>Bergman</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) <u>Rej.</u>	<u>8-11</u>	<u>Conklin</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

RECEIVED

MAY 24 2004

☐ Continuation Sheet Attached

Technology Center 2100

**Brief Description of Arguments to be Presented:**

- (1) Hu does not describe a plurality of queues for storing event data  
(2) Bergman does not describe tracking back to identify the source of an attack  
(3) Conklin does not describe handoff to another administrative domain

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:**

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

Clover Huang  
(Applicant/Applicant's Representative Signature)

\_\_\_\_\_  
(Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.